

ANNOUNCEMENT

(Re: Protection and processing of personal data in accordance with Decree 13/2023/ND-CP)

Dear valued customers,

JB Securities Vietnam Company Limited (JBSV) would like to greet and thank customers for trusting and using JBSV's products and services.

Pursuant to Decree 13/2023/ND-CP on personal data protection issued by the Government on 17/04/2023 and effective from 01/07/2023, JBSV would like to inform customers about the principles of personal data collection, processing and control that JBSV implements in accordance with the law as follows:

Types of personal data

Personal data is information in the form of symbols, scripts, digits, images, sounds or the like in the electronic environment that is associated with a specific person or helps identify a specific person. The personal data processed includes basic personal data, sensitive personal data and other data and information as prescribed by law that are considered personal data.

Basic personal data includes:

- Family name, middle and first name, other names (if any);
- Date, month, year of birth; date, month, year of death or disappearance;
- Gender;
- Place of birth, place of birth registration, permanent residence, temporary residence, current residence, hometown, contact address;
- Nationality;
- Image of individuals;
- Phone number, identity card number, personal identification number, passport number, driver's license number, vehicle plate number, personal tax identification number, social insurance number, health insurance card number;
- Marital status;
- Information about family relationships (parents, children);
- Information about the individual's digital account; personal data reflecting activities and history of activities in cyberspace;
- Other information that relates to a specific person or helps identify a specific person that does not fall under the category of Sensitive Personal Data.

Sensitive personal data includes:

- Political views, religious views;
- Health and personal status are recorded in the medical record, not including information about blood type;
- Information related to racial and ethnic origin;
- Information about inherited or acquired genetic traits of the individual;



- Information about physical properties, individual biological characteristics;
- Information about the sex life, sexual orientation of the individual;
- Data on crimes and offenses collected and stored by law enforcement agencies;
- Customer information includes: customer identification information in accordance with the law, information about accounts, information about deposits, information about deposited assets, information about transactions...;
- Location data of individuals determined through location services;
- Other personal data regulated by law that is specific and requires necessary security measures.

Purpose of processing personal data

JBSV collects and processes your personal data for the following purposes:

- Verify the accuracy and completeness of the information provided by the Customer; identify or authenticate the identity of the Customer and carry out the Customer authentication process;
- Appraise the Customer's legal documents, financial viability and responsiveness to any products and services proposed or provided by JBSV (if necessary);
- Provide products and services proposed or provided by JBSV (including but not limited to products that third parties coordinate with JBSV to provide to customers in accordance with the law);
- Promote and inform Customers about products, services, promotions, research, surveys, news, updates, events, contests, awarding relevant rewards, communication activities, introducing related services and products of JBSV and other partner services cooperating with JBSV;
- Statistics, management, reporting and serving requirements on tax, accounting, auditing, risk control, internal control;
- Serve, care for and enhance customer experience;
- Anti-money laundering, countering terrorist financing, and sanctions compliance;
- Complaint settlement, dispute settlement (if arising);
- Other purposes required or permitted by any laws, regulations, guidelines and/or competent State Authorities.

Processing of personal data

Processing of your personal data includes one or more activities affecting personal data, such as: collection, recording, analysis, confirmation, storage, correction, publicity, combination, access, retrieval, withdrawal, encryption, decryption, copying, sharing, transmission, provision, transfer, deletion, destruction of personal data, other related actions or any activity that by law from time to time is defined as processing of personal data. The processing of personal data may take place at any time, including automatic processing of personal data and overseas transfers of personal data.

Parties involved in the processing of personal data

JBSV may process and provide your personal data to banks, partners, organizations and other agencies including foreign government agencies (if any) for the purposes mentioned above, including but not limited to branches, transaction offices, representative offices, employees of JBSV; individuals and organizations designated to distribute and provide services to customers;

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subsidiaries, affiliated companies, subsidiaries/ parent companies, organizations and partners related to or having a cooperative relationship with JBSV; organizations, partners, contractors/subcontractors to support and provide services to JBSV, help JBSV collect and process data and information of customers; auditing organizations, or individuals, agencies or organizations to which JBSV must provide information in accordance with (i) legal obligations, or (ii) provisions of law, or (iii) requests of regulatory agencies or authorities.

Commitment to protect personal data and potential risks

JBSV commits, by all necessary and reasonable efforts, to process your personal data safely, securely and ensure your rights in accordance with the provisions of law. However, the Internet and electronic information networks, information technology environment are not secure environments and the transmission and sharing of your data may have potential risks, so JBSV cannot guarantee that your personal data is absolutely confidential at all times. JBSV commits not to exchange, rent your personal data without your consent or sell your personal data in any form.

Personal data processing time

The processing of personal data will start from the time JBSV receives your personal information and data. JBSV will maintain the processing of your personal data during the period you establish contracts, agreements and documents with JBSV. JBSV may also store your personal data for a period of time as prescribed by law.

Rights and obligations of customers

You have the right to know about the processing of your personal data; access to view, edit, or request correction by JBSV; the right to erase; the right to restrict data processing; the right to protect your personal data; and other rights such as the right to complain, sue, claim compensation ... in accordance with the provisions of law, unless otherwise agreed between you and JBSV. In case JBSV assists you to access and correct your personal data held by JBSV, JBSV may request additional documents and records to verify and identify you.

In the event that you do not accept the terms, or withdraw your consent or any request, activity that restricts the processing of your personal data, such requests, activities may, as the case may be, make the provision of products, services, features on JBSV's websites, applications or devices to be interrupted, limited, restricted, suspended, canceled, prevented or prohibited. JBSV shall not be liable to you for any losses incurred in connection with such limitation, suspension, cancellation, blockage, or prohibition.

This notice is an integral part of the documents entered into and/or certified by you with JBSV, including but not limited to, the securities trading account opening contract, the terms of the securities trading contract, the terms and conditions of online trading, the terms and conditions of securities cash advance service, margin trading account opening contract and accompanying terms and conditions, appendices, registrations, offers, other commitments of customers accepted by JBSV and amendments and supplements of any of the above documents from time to time.



JBSV encourages customers to regularly check and update information and notify JBSV to promptly update any changes that JBSV may make in accordance with the law.

Contact Info

In case you have any questions or matters related to the processing of personal data or issues related to the rights of data subjects or the processing of your Personal Data, please contact the information below for specific answers and instructions:

JBSV Customer Service Department:

Tel: (+84) 24 3942 9775

Website: www.jbsv.com.vn

Email: info@jbsv.com.vn

Best regards,

JB Securities Vietnam Company Limited



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